

10606761
06/27/03
16334 U.S. PTO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450, U.S.A.

32190 U.S. PTO
10/606761
06/27/03

Utility Patent Application Transmittal
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No: 50587-01 US Date: June 26, 2003
First Inventor: Shen-En QIAN
Title: Method and System for Compressing a Continuous Data
Flow in Real-Time Using Recursive Hierarchical Self-
Organizing Cluster Vector Quantization (HSOCVQ)

Application Elements:

1. Fee Calculation (see Box 14)
2. Applicant claims small entity status.
3. Specification Total Pages - 30
 - Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawing(s) - (6 Figures) Total Sheets - 8
5. Oath or Declaration Total Pages - 2
 - a. Newly executed (original or copy)
 - b. Unexecuted
 - c. Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 12 completed)
 - i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in
the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

Accompanying Application Parts:

6. Assignment Papers (cover sheet & document(s))
7. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
8. Preliminary Amendment
9. Return Receipt Postcard *(Should be specifically itemized)*
10. Certified Copy of Priority Document(s) *(if foreign priority is claimed)*
11. Other:

12. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

Continuation Divisional Continuation-in-part (CIP)
of prior Application No. filed
Prior application information: Examiner: Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon which a portion has been inadvertently omitted from the submitted application parts.

13. CORRESPONDENCE ADDRESS:

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Agent: Gordon Freedman, Registration No. 41,553

14. FEE CALCULATION:

				AMOUNT																
1. BASIC FILING FEE																				
<table border="1"><thead><tr><th colspan="2">Large Entity</th><th colspan="2">Small Entity</th></tr></thead><tbody><tr><td>Fee Code</td><td>Fee (\$)</td><td>Fee Code</td><td>Fee (\$)</td></tr><tr><td>101</td><td>750.00</td><td>201</td><td>375.00</td></tr></tbody></table>				Large Entity		Small Entity		Fee Code	Fee (\$)	Fee Code	Fee (\$)	101	750.00	201	375.00	\$750.00				
Large Entity		Small Entity																		
Fee Code	Fee (\$)	Fee Code	Fee (\$)																	
101	750.00	201	375.00																	
2. EXTRA CLAIM FEES																				
<table border="1"><thead><tr><th>Total Claims</th><th>31 - 20 =</th><th>Extra Claims</th><th>Fee From Below</th></tr></thead><tbody><tr><td>Independent Claims</td><td>6 - 3 =</td><td>3</td><td>x \$84.00 =</td></tr><tr><td colspan="3">Multiple Dependent</td><td>\$** =</td></tr></tbody></table>				Total Claims	31 - 20 =	Extra Claims	Fee From Below	Independent Claims	6 - 3 =	3	x \$84.00 =	Multiple Dependent			\$** =	\$198.00				
Total Claims	31 - 20 =	Extra Claims	Fee From Below																	
Independent Claims	6 - 3 =	3	x \$84.00 =																	
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Large Entity		Small Entity																		
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103	18.00	203	9.00																	
102	84.00	202	42.00																	
3. OTHER																				
<table border="1"><tbody><tr><td>Fee Code - 581</td><td>Fee (\$)- 40.00</td><td>Recording Patent Assignment</td><td></td></tr></tbody></table>				Fee Code - 581	Fee (\$)- 40.00	Recording Patent Assignment		\$40.00												
Fee Code - 581	Fee (\$)- 40.00	Recording Patent Assignment																		
				TOTAL																
				\$1240.00																

15. The Commissioner is hereby authorized to charge all fees in Box 14 above to Deposit Account 50-1142.

16. The Commissioner is hereby authorized to charge any additional fees required or credit any overpayment to Deposit Account No. 50-1142.

Submitted By


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